

Colorado Secretary of State
Elections Division / Campaign Finance
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Denver, CO 80290
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Website www.coloradosos.gov



Space Below for Office Use Only

Personal Financial Disclosure Statement

Candidates and incumbents for state offices must submit their completed disclosure via email to cpfhelp@coloradosos.gov. This statement is a public document and will be available on the Secretary of State's website.

File in accordance with the appropriate statutes: 1-45-110(2)(a), 24-6-202, 24-21-630(2)(h), 24-51-207(4), 44-30-301(1)(g), 44-32-301(1)(g), 44-40-108(6), C.R.S.

Complete this form electronically by typing in disclosure information. Please save a copy of the completed form for your records. When you need to file a new disclosure form, you may revise the form information, date, and signature blocks, then resubmit the file. *Please sign the disclosure using a typed or electronic signature; the form does not require a wet signature.* See page seven (7) for detailed filing instructions.

Name*: _____

Mailing Address*: _____

(Include city, state, and zip code)

Office Held / Sought*: _____

Contact Phone: _____

Law enforcement filers (e.g., Attorney General, District Attorney, Judge, etc.) should avoid using residential addresses and personal contact information in the above fields. Filers may provide alternate contact information instead (e.g., P.O. Box, business address, and phone number).

I am filing this disclosure because:

- I have been appointed to a vacant office.
- I am a candidate seeking election for a state office.
- This is my annual filing.
- I am amending a previous Personal Financial Disclosure

Name:	
Office Held /Sought:	

The following disclosure sections must include financial information for the previous calendar year, unless otherwise specified. Attach additional pages as needed.

Income:

List the names of any sources of income, including capital gains (whether or not taxable) for yourself, your spouse, and minor children residing with you.

Recipient of Income Identify the Individual receiving income.	Source of Income List name of employer.	Range Amount Select an income range.

Sources of Compensation:

List any source of compensation that meets the following criteria:

1. The source is regulated by the state or pays for a lobbyist to lobby at the General Assembly or at a state regulatory body; and
2. The source compensated you and/or your business affiliation with \$5,000 or more for services you provided within the prior calendar year and the current year to date.

Qualifying sources include clients and customers of any affiliated corporation, firm, partnership, or other business enterprise.

Note: You may exclude any information considered confidential because of a privileged relationship recognized by law. If you withhold information because of a privileged relationship, you must disclose the existence of the source of compensation and an explanation for why information was withheld.

Name:	
Office Held /Sought:	

Sources of Compensation Continued:

Recipient of Compensation	Compensation Source	Description of Duties / Services Rendered	Explanation for withheld information

Compensated Lobbying:

List the name of any person, firm, or organization that compensates a lobbyist if:

1. You are associated with the lobbyist; and
2. You may benefit from the lobbyist's compensation either directly or indirectly.

Name of Person, Firm, Corporation, Organization Retaining Lobbyist

Name:	
Office Held /Sought:	

Assets:

List the name of each business, insurance policy, or trust where there is a financial interest in excess of \$5,000 for yourself, your spouse, and minor children residing with you.

Person with financial interest	Name of Business, Insurance Company, or Trust

Property in Colorado:

List the **legal description(s)** of all real property in Colorado in which you, your spouse, and minor children residing with you have a direct or indirect interest with a fair market value of \$5,000 or more. This includes an option to buy real property within the state.

The disclosure requirement applies to residential, investment, condominium, rental properties and any mineral, water, coal, and rights to sand and gravel.

Please note that street addresses and parcel numbers do not satisfy statutory requirements. Legal descriptions are typically available via the county clerk and/or assessor's office.

Owner of Record	Legal Description of Property

Name:	
Office Held /Sought:	

Offices, Directorships, and Fiduciary Relationships:

List all offices, directorships, and fiduciary relationships held by you, your spouse, and any minor children residing with you and state whether the position is compensated or uncompensated.

Person Holding Position	Position Held	Name of Organization or Trust	Is this position compensated?

Businesses Regulated by the State of Colorado:

List any businesses which you or your spouse are associated with that do business with or are regulated by the state and the nature of such business (e.g., attorney, insurance, medical profession, real estate, securities, etc.).

Person Involved (Self or spouse)	Name of Business	Nature of Business

Name:	
Office Held /Sought:	

Liabilities:

List the name of each creditor for yourself, your spouse, and minor children living with you to whom debt is owed in excess of \$1,000. Include the interest rate and the range of amounts owed including the highest owed amount.

Person Liable for Debt Identify the Individual liable for debt.	Name of Creditor	Interest Rate (%)	Debt Owed - Amount Range Select a debt range.

Additional Information:

List below any additional information you would like to disclose. Attach extra pages, as necessary.

Note: You must file a new disclosure within 30 days after any termination, acquisition, or substantial change of your reportable interest.

Affirmation:

I affirm and certify, under penalty of perjury, that the information provided in this disclosure statement is true, complete, and correct to the best of my knowledge and belief.

 Print, sign, or type name of candidate/incumbent/filer

Date: _____

Personal Financial Disclosure (PFD) Statement Filing Instructions

Disclosure:

Only candidates for state offices and incumbents of state offices, boards, and commissions are required to file PFD Statements. County and municipal candidates and incumbents are not required to complete or file PFD Statements. See the Candidate Filing Requirements and Annual Filing Requirements sections below for more information.

PFD Statements are public documents and are available on the Secretary of State's website. Please submit your disclosure via email to cpfhelp@coloradosos.gov. You should receive an immediate confirmation receipt, and another receipt once our office has processed the filing. Please contact our office if you do not receive an immediate response after submitting your disclosure.

Amendments:

Candidates and state officials must amend their PFD Statements within 30 days after any termination, acquisition, or substantial change of interests reported in their PFD (1-45-110 (4), C.R.S., 24-6-202 (3), C.R.S.).

Filer Information:

Completing the Office Held / Sought Section:

This section should reflect a state office, commission, or board. For example:

- House of Representatives
- State Senate
- Secretary of State
- County Court Judge
- District Court Judge
- Various boards/commissions – e.g., PERA, Racing, etc.

District Held / Sought

This section should reflect the district associated with the candidate or incumbent's office. For Example:

- House District 1
- Senate District 2
- Statewide
- Montezuma County
- Judicial District 3

If you are an incumbent running for another office, please report your current office and office sought.

Some offices are not associated with a district (e.g., PERA, Public Utilities Commission, Lottery Commission, etc.). If your office is not associated with a district, please leave this section blank.

Disclosure Content:

Range Amounts:

The range amounts for both income and debt may be found in Rule 2.4, [Campaign and Political Finance \[8 CCR 1505-6\]](#).

Stocks and Market Holdings:

A filer's market interests may require disclosure under the Assets heading – particularly if the investments include stocks valued at over \$5,000 per business. Filers may provide the names of investment stocks and include an itemized list in a separate attachment (e.g., screen short, PDF, Word Document, etc.).

If a filer's mutual fund or exchange traded fund (ETF) requires disclosure, the filer may disclose the name of the fund rather than itemizing the fund's interests (i.e., the stocks, bonds, and assets that comprise the fund). For example, a filer might list a "Fidelity Flex 500 Index Fund (FDFIX)" as an asset without providing each of the individual stock holdings that make up the FDFIX fund.

Liabilities:

Please note the disclosure requirements pertain to the previous calendar year unless otherwise specified.

Candidate Filing Requirements

Candidates for State Offices (1-45-110, C.R.S.):

Candidates for the following offices must file a PFD within ten (10) days of filing a Candidate Affidavit:

- Attorney General
- Colorado House of Representatives
- Colorado Senate
- District Attorney
- Governor
- Lieutenant Governor
- Regent of the University of Colorado (a.k.a. CU Regent)
- State Treasurer
- Secretary of State
- State Board of Education

Each state candidate must submit their PFD via email to cpfhelp@coloradosos.gov.

Candidate Filing Exemptions:

Candidates are not required to file PFDs under the following conditions:

- The candidate has filed a PFD within 90 days of their Candidate Affidavit filing (1-45-110(2)(a), C.R.S.)
- The candidate is defeated or withdraws their candidacy. Candidates must file a PFD or notify our office of their withdrawal or defeat before the filing deadline to avoid late filing penalties. (1-45-110(5), C.R.S.)

Candidate Filing Penalties:

Failure to disclose complete and accurate information may result in one or more of the following sanctions:

- A \$50 per day late filing penalty that will accrue until the statement is filed (Art. XXVIII, Sec. 10(2)(a), Colorado Constitution and 1-45-110, C.R.S.),
- An administrative complaint that may result in civil penalties, or
- Criminal sanctions as outlined in 24-6-202(7), C.R.S.

Annual Filing Requirements for Incumbents

Elected and Appointed Officials:

Boards & Commissions:

The following officials must file a PFD by January 1st each year:

- Each member of the Colorado Bingo Raffle Advisory Board (24-21-630(2)(h), C.R.S.)
 - Note: each person nominated to serve on the board shall file a PFD prior to commencing their term of service
- Each member of the Colorado Limited Gaming Control Commission (44-30-301(1)(g), C.R.S.)
 - Note: members must also file a PFD prior to their senate confirmation.
- Each member of the Racing Commission (44-32-301(1)(g), C.R.S.)
 - Note: members must also file a PFD prior to their senate confirmation.
- Each member of the Colorado Lottery Commission (44-40-108(6), C.R.S.)
 - Note: each member must file a PFD upon appointment and prior to senate confirmation.

State Officials:

The following state officials must file a PFD by January 10th each year 24-6-202(1), C.R.S.

- Each member of the general assembly;
- The governor, lieutenant governor, secretary of state, attorney general, and state treasurer;
- Each justice or judge of a court or record;
- Each district attorney;
- Each member of the state board of education;
- Each member of the board of regents of the university of Colorado;
- Each member of the public utilities commission.
- The following members of the Public Employees' Retirement Association (PERA) 24-51-207(4), C.R.S.):
 - Trustees of the board
 - The Executive Director
 - The Deputy Executive Directors
 - Any employee of the association who is in a fiduciary position.

Penalties:

Any person who willfully files a false or incomplete disclosure statement, or who willfully fails to make any filing required by this section is guilty of a misdemeanor and, upon conviction thereof, shall be punished by a fine of not less than one thousand dollars nor more than five thousand dollars.

State official disclosures are also subject to administrative complaints per 24-6-202(7), C.R.S.

Redactions:

Our office automatically redacts legal descriptions, residential address, and residential phone numbers from judicial and law enforcement disclosures (18-9-313, C.R.S.). Any other filer may request a redaction per Rule 20, Campaign and Political Finance [8CCR 1505-6].

Generally, our team can redact information to shield a filer's address, but not the disclosure content itself (e.g., sources of income, income ranges, sources of compensation, creditors, etc.). Please contact our office with any questions pertaining to the redaction process.